

Docket No. 1238DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of: James F. McGuckin, Jr., et al

Serial No: To be assigned  
(Division of 10/011,345)

Examiner: Phan

Filed: Herewith

Group Art Unit:

For: **VASCULAR DEVICE WITH VALVE FOR APPROXIMATING VESSEL WALL**

APPLICATION TRANSMITTAL LETTER

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the ☒ utility ☐ design patent application in this case including:

1. ☒ This application is a ☐ Continuation; ☒ Divisional ☐ Continuation in Part of prior application Serial No. 10/011,345 filed on December 5, 2001 which claims priority from U.S. provisional application 60/317,801, filed September 7, 2001, and is a continuation-in-part of U.S. patent application serial no. 09/877,639, filed June 8, 2001, and a continuation-in-part of U.S. patent application serial no. 09/877,480, filed June 8, 2001 and now Patent No. 6,527,800, both of which claim priority from U.S. provisional patent application no. 60/214,120, filed June 26, 2000.
2. ☐ This application claims priority from Provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_.
3. ☒ The application consisting of 34 pages (including specification, claims and abstract).
4. ☒ 32 sheet(s) of ☒ informal ☐ formal drawings are enclosed.
5. ☒ A copy of the signed declaration and power of attorney from parent application 10/011,345 is enclosed

The inventor(s) are: James F. McGuckin, Jr.  
Peter W. J. Hinchliffe

6. [x] An Assignment of the invention to Rex Medical was filed in parent application serial no. 10/011,345.
7. [x] The Application filing fee is calculated below which is based on the Preliminary Amendment submitted herewith.

	No. Filed	No. Extra*	Rate:	Fee
Basic Fee:				
Total Claims:	23	- 20 = 3		\$ 385.00
Indep Claims:	3	- 3 = 0	x 9.00	\$ 27.00
			x 43.00	\$ 0.00

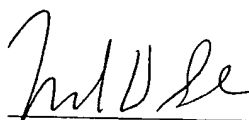
[ ] Multiple Dependent Claims  
Presented + \$280.00

\$ 0.00

**TOTAL: \$412.00**

8. [x] Please enter the Preliminary Amendment and calculate the filing fee after entry of the Amendment
9. [x] Please charge Deposit Account No. 501567 in the amount of \$ 412.00 which includes the filing fee.  
**TWO DUPLICATE COPIES OF THIS PAPER ARE ENCLOSED.**
9. [x] The Commissioner is hereby authorized to charge any additional fees which may be required for this application, or credit any overpayment to Deposit Account No. 501567. **TWO DUPLICATE COPIES OF THIS SHEET ARE ENCLOSED.**

Respectfully submitted,



Neil D. Gershon  
Reg. No. 32,225  
Attorney for Applicant

Date: 11/12/03

Rex Medical  
2023 Summer St.  
Suite 2  
Stamford, CT. 06905  
203 348-0377

Docket No. 1238DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: James F. McGuckin, Jr., et al

Serial No: To be assigned                      Examiner:                      Phan  
(Division of 10/011,345)

Filed:                      Herewith                      Group Art Unit:

For:                      **VASCULAR DEVICE WITH VALVE FOR  
APPROXIMATING VESSEL WALL**

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

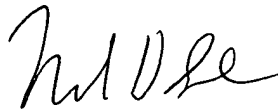
CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No.: ET985692065US  
Date of Deposit: November 12, 2003

I hereby certify that the following:

- [x] This Certificate of Express Mailing
- [x] Application Transmittal letter
- [x] A patent application consisting of 34 pages  
of abstract, specification and claims
- [x] Copy of Declaration and Power of Attorney from parent application  
10/011,345
- [x] 32 sheets of [ ] formal [x] informal drawings
- [x] Preliminary Amendment
- [x] Return postcard

are being deposited with the United States Postal Service "Express Mail Post  
Office to Addressee" service under 37 CFR Section 1.10 on the Date of Deposit  
indicated above in an envelope addressed to the Commissioner for Patents, P.O.  
Box 1450, Alexandria, VA 22313-1450



Neil Gershon  
Rex Medical  
2023 Summer Street  
Suite 2  
Stamford, CT 06905  
203 348-0377